



Filing ID #10023768

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mr. Michael P. Mullen  
**Status:** Congressional Candidate  
**State/District:** MA03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 05/28/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Capital One Saving [BA]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DCU checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DCU Savings [BA]	JT	\$1 - \$1,000	Interest	None	None
Dell Technologies Inc. Class V (DVMT) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Etrade brokerage [BA]		\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	\$201 - \$1,000
Etrade checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Etrade Savings [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Genex 401K ⇒ ABF SM CAP VAL INST [MF]		\$15,001 - \$50,000	Tax-Deferred		
Genex 401K ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cash [BA]					
Genex 401K ⇒ FID 500 INDEX IPR [MF]		\$15,001 - \$50,000	Tax-Deferred		
Genex 401K ⇒ FID CONTRAFUND K6 [MF]		\$1,001 - \$15,000	Tax-Deferred		
Genex 401K ⇒ MFS INST INTL EQUITY [MF]		\$1,001 - \$15,000	Tax-Deferred		
Genex 401K ⇒ TRP DIV GROWTH I [MF]		\$15,001 - \$50,000	Tax-Deferred		
Genex 401K ⇒ TRP MID CAP VALUE I [MF]		\$15,001 - \$50,000	Tax-Deferred		
Mass Deferred Comp [DB]	SP	\$50,001 - \$100,000	Tax-Deferred		
Putnam IRA [IH] DESCRIPTION: Converted 403b from prior employer of spouse	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade IRA ⇒ Cash [IH]		\$250,001 - \$500,000	Tax-Deferred		
USAA checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
USAA Roth IRA [IH]		\$15,001 - \$50,000	Tax-Deferred		
Vanguard 403b ⇒ Vanguard Target Retirement 2035 Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Vanguard DC1 ⇒ Vanguard 500 Index Portfolio [MF]	JT	\$15,001 - \$50,000	Tax-Deferred		
Vanguard DC1 ⇒ Vanguard Aggressive Age-Based Option: Vanguard 40% Stock/60% Bond Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard DC1 ⇒ Vanguard Growth Index Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Vanguard DC1 ⇒ Vanguard Growth Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard DC1 ⇒ Vanguard STAR Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard DC2 ⇒ Vanguard 500 Index Portfolio [MF]	JT	\$15,001 - \$50,000	Tax-Deferred		
Vanguard DC2 ⇒ Vanguard Aggressive Age-Based Option: Vanguard Moderate Growth Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard DC2 ⇒ Vanguard Growth Index Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard DC2 ⇒ Vanguard Moderate Growth Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard DC2 ⇒ Vanguard STAR Portfolio [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard 500 Index Fund Investor Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Extended Market Index Fund Investor Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Growth Index Fund Investor Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Mid-Cap Index Fund Investor Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Small-Cap Index Fund Investor Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Value Index Fund Investor Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Genex Services LLC	Salary	\$194,155.24	\$197,412.48
Philadelphia Children's Alliance	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<div><div>◦ Genex 401K</div><div>DESCRIPTION: Current employer 401K plan</div></div> <div><div>◦ TD Ameritrade IRA</div><div>DESCRIPTION: IRA converted from former employer</div></div> <div><div>◦ Vanguard 403b (Owner: SP)</div><div>DESCRIPTION: Current 403b plan</div></div> <div><div>◦ Vanguard DC1 (Owner: JT)</div><div>LOCATION: MA</div><div>DESCRIPTION: College savings plans for my children</div></div> <div><div>◦ Vanguard DC2 (Owner: JT)</div><div>LOCATION: MA</div><div>DESCRIPTION: College savings plan for child</div></div> <div><div>◦ Vanguard Roth IRA (Owner: SP)</div><div>DESCRIPTION: Rolled over 403B</div></div>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Michael P. Mullen , 05/28/2018